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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/403,437	12/20/1999	ISA ODIDI	10914-11	7273

7590 11/18/2002

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EXAMINER

PULLIAM, AMY E

ART UNIT	PAPER NUMBER
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1615

DATE MAILED: 11/18/2002

Please find below and/or attached an Office communication concerning this application or proceeding.

# Office Action Summary

Application No.

09/403,437

Applicant(s)

ODIDI ET AL.

Examiner

Amy E Pulliam

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1615

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time are available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 26 August 2002.
- 2a) ☒ This action is FINAL. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claim(s)

- 4) ☒ Claim(s) 1 is/are pending in the application.
- 4a) Of the above claim(s) 1 is/are withdrawn from consideration.
- 5) ☐ Claim(s) 2 is/are allowed.
- 6) ☒ Claim(s) 1, 4 is/are rejected.
- 7) ☐ Claim(s) 3 is/are objected to.
- 8) ☐ Claim(s) 5 are subject to restriction and/or election requirement.

## Application Page

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on        is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on        is: a) ☐ approved b) ☐ disapproved by the Examiner.  
If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

## Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a) ☐ All b) ☐ Some \* c) ☐ None of:  
1. ☐ Certified copies of the priority documents have been received.  
2. ☐ Certified copies of the priority documents have been received in Application No.       .  
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  
\* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☒ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).  
a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

## Attachment(s)

- 1) ☐ Notice of Reference Cited (PTO-892) 4) ☐ Interview Summary (PTO-413) Paper No(s).
- 2) ☐ Notice of Drafting's Patent Drawing Review (PTO-948) 5) ☐ Notice of Informal Patent Application (PTO-152)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449) Paper No(s) 17. 6) ☐ Other:

## DETAILED ACTION

### *Receipt of Papers*

Recent is acknowledged of the Information Disclosure Statement, the Amendment D, the Supplemental Amendment E, and the 1.132 Declaration, received by the Office April 29, 2002, August 26, 2002, September 5, 2002m and September 18, 2002.

Applicant's arguments and declaration have been considered but are rendered moot in view of the new grounds of rejection.

### *Claim Rejections - 35 USC § 103*

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1-34 are rejected under 35 U.S.C. 103(a) as being unpatentable over US Patent 5,000,962 to Sangekar *et al.*, in view of US 5,162,117 to Stupak *et al.*.

Sangekar *et al.* teach a long acting formulation which comprises a swellable polymer. More specifically, Sangekar *et al.* teach that examples of swellable hydrophilic polymers include HPMC, HP HMC, HEC, and HPC, which can be used alone or in combination (c 2, l 57-61). Furthermore Sangekar *et al.* teach the presence of a binder in the composition to combine with the swellable hydrophilic polymer, such as ethylcellulose (c 3, l 51-55). The reference also teaches that the binder be present at between 2-6% of the weight of the composition (c 3, l 58).

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The reference also teaches the inclusion of additional excipients, such as diluents (spray dried lactose) and lubricants (c 3, 141-50). Therefore, the teachings of Sangekar *et al.* teach the combination of HPMC and HEC, further in combination with EC, for the creation of a long acting pharmaceutical formulation.

Sangekar *et al.* do not specifically teach how long the formulation will release. However, the reference does teach that the formulation is suitable for once daily or twice daily administration. This implies that the dosage form releases for either 12 or 24 hours, before a new dosage form is necessary (c 2, 132).

Sangekar *et al.* do not teach the specific additives and excipient as claimed by applicant.

Stupak *et al.* is relied upon for the teaching that applicant's claimed excipients are all very well known in the pharmaceutical art, and therefore would have been obvious to include in any pharmaceutical formulation, especially one which has the same function of controlled release. Stupak *et al.* disclose a controlled release solid dosage tablet. More specifically, Stupak *et al.* teach that the tablet core of their invention can include excipients including diluents such as microcrystalline cellulose, lubricants, glidants such as silicon dioxide, as well as sodium lauryl sulfate and lactose (c 2-3). Additionally, Stupak *et al.* teach that their composition can have a coating, which can be a methacrylic acid copolymer coating (c 5, claim 5). Again, the Stupak reference is relied upon to show that applicant's claimed excipients are all known in the art of pharmaceutical formulations, and therefore would be obvious to include in a tablet formulation.

It is the position of the examiner that the main component of applicant's invention is the mixture of polymers in the core of the composition, which is disclosed generally by Sangekar *et al.* Further, one of ordinary skill in the art would have been motivated to combine the teachings

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of Sangekar *et al.* and Stupak *et al.*, and use any of the well known pharmaceutical excipients described by Stupak *et al.* in the composition disclosed by Sangekar *et al.*. The expected result would be a successful controlled release pharmaceutical composition. Therefore, this invention as a whole would have been *prima facie* obvious to one of ordinary skill in the art at the time the invention was made.

### ***Conclusion***

Applicant's submission of an information disclosure statement under 37 CFR 1.97(c) with the fee set forth in 37 CFR 1.17(p) on April 29, 2002 prompted the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 609(B)(2). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(c).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(c) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the mailing date of this final action.

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*Correspondence*

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Amy E Pulliam whose telephone number is 703-308-4710. The examiner can normally be reached on Mon-Thurs 7:30-5:00, Alternate Fri 8:30-5:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Thurman Page can be reached on 703-308-2927. The fax phone numbers for the organization where this application or proceeding is assigned are 703-305-3592 for regular communications and 703-305-3592 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-308-1235.

A. Pulliam  
Patent Examiner  
Art Unit 1615  
November 11, 2002

  
THURMAN K. PAGE  
SUPERVISORY PATENT EXAMINER  
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